#### BAKER & HOSTETLER LLP

45 Rockefeller Plaza New York, NY 10111

Telephone: (212) 589-4200 Facsimile: (212) 589-4201

David J. Sheehan Nicholas J. Cremona Michael R. Matthias

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the Estate of Bernard L. Madoff

### UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff

ROBERT LAYTON, as an individual and as a joint tenant, and GERDA LAYTON, as an individual and as a joint tenant,

Defendants.

Adv. Pro. No. 08-01789 (SMB)

SIPA Liquidation

(Substantively Consolidated)

Adv. Pro. No. 10-04967 (SMB)

### TRUSTEE'S REQUEST TO ENTER DEFAULT AS TO **DEFENDANT GERDA LAYTON**

To: CLERK OF THE COURT

UNITED STATES BANKRUPTCY COURT

Irving H. Picard (the "Trustee"), as trustee for the liquidation of the business of Bernard L. Madoff Investment Securities LLC under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, et seq., and the Estate of Bernard L. Madoff, by and through his counsel, Baker & Hostetler LLP, respectfully requests that the Clerk of the Court issue a Certificate of Default against defendant Gerda Layton pursuant to Rule 55(a) of the Federal Rules of Civil Procedure, made applicable to this Adversary Proceeding by Rule 7055 of the Federal Rules of Bankruptcy Procedure and Local Bankruptcy Rule for the Southern District of New York 7055-1, for failure to plead or otherwise defend the above-captioned action as it fully appears from the Court file and from the attached Affidavit.

WHEREFORE, the Trustee respectfully requests that this Court grant the Trustee's request in its entirety and provide for such other relief as this Court deems just and proper.

Dated: New York, New York October 13, 2017

Of Counsel:

BAKER & HOSTETLER LLP

11601 Wilshire Blvd., Suite 1400 Los Angeles, California 90025

Telephone: 310.820.8800 Facsimile: 310.820.8859 Michael R. Matthias

Email: mmatthias@bakerlaw.com

#### **BAKER & HOSTETLER LLP**

By: /s/ Nicholas J. Cremona

45 Rockefeller Plaza New York, NY 10111 Telephone: 212.589.4200 Facsimile: 212.589.4201

David J. Sheehan

Email: dsheehan@bakerlaw.com

Nicholas J. Cremona

Email: ncremona@bakerlaw.com

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the

Estate of Bernard L. Madoff

### **BAKER & HOSTETLER LLP**

45 Rockefeller Plaza New York, NY 10111

Telephone: (212) 589-4200 Facsimile: (212) 589-4201

David J. Sheehan Nicholas J. Cremona Michael R. Matthias

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the Estate of Bernard L. Madoff

# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

٧.

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff

v.

ROBERT LAYTON, as an individual and as a joint tenant, and GERDA LAYTON, as an individual and as a joint tenant,

Defendants.

Adv. Pro. No. 08-01789 (SMB)

SIPA Liquidation

(Substantively Consolidated)

Adv. Pro. No. 10-04967 (SMB)

### AFFIDAVIT SUPPORTING ENTRY OF DEFAULT AS TO <u>DEFENDANT GERDA LAYTON</u>

STATE OF NEW YORK	)	
	)	ss:
COUNTY OF NEW YORK	)	

Michael R. Matthias, being duly sworn, hereby attests as follows:

- 1. I was admitted pro hac vice into this Court and am a partner at the firm of Baker & Hostetler LLP, which is counsel for Irving H. Picard ("Trustee"), Trustee for the substantively consolidated liquidation of the business of Bernard L. Madoff Investment Securities LLC ("BLMIS") under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, et seq. ("SIPA") and the estate of Bernard L. Madoff, individually.
- 2. On December 2, 2010, the Trustee commenced this adversary proceeding by filing a complaint (the "Complaint") against Gerda Layton ("Defaulting Defendant"). (Dkt. No. 1.) The Complaint asserted claims pursuant to sections 78fff(b), 78FFF-1(a) and 78fff-2(c)(3) of SIPA, sections 105(a), 544, 548(a), 550(a), and 551 of the United States Bankruptcy Code, 11 U.S.C. §§ 101, et seq., and other applicable law, seeking the avoidance and recovery of fraudulent transfers in connection with certain transfers of property by BLMIS to or for the benefit of Defaulting Defendant. (*Id.*).
- 3. On February 9, 2011, the Clerk of this Court issued a summons upon Defaulting Defendant. (Dkt. No. 3).
- 4. On March 7, 2011, the Trustee, in accordance with Bankruptcy Rule 7004(b) of the Federal Rules of Bankruptcy Procedure, timely served the Summons and Complaint upon Defaulting Defendant. (See Dkt. No. 5). A Certificate of Service evidencing proper and timely service were filed with the Court. (Id.). A true and correct copy of the Certificate of Service is attached hereto as Exhibit A.

- 5. Pursuant to the Order (1) Establishing Litigation Case Management Procedures For Avoidance Actions And (2) Amending The February 16, 2010 Protective Order governing the litigation of certain avoidance actions, including this adversary proceeding, the time by which Defaulting Defendant may answer or otherwise move with respect to the Complaint expired August 8, 2011.
- 6. Despite being duly served with the Summons and Complaint, Defaulting Defendant did not file an answer, move, or otherwise respond to the Complaint.
- 7. On October 11, 2017, the Trustee filed a Notice of Voluntary Dismissal dismissing Count One and Counts Three through Seven only of the Complaint. (See Dkt. No. 7). The Notice of Voluntary Dismissal had no effect on the Trustee's claims against Defaulting Defendant in Count Two of the Complaint.
- 8. Upon information and belief, the Defaulting Defendant is neither an infant nor incompetent.
- 9. On October 12, 2017, I performed a search on the Department of Defense Manpower Data Center (DMDC). Upon searching the information data banks of DMDC, the DMDC does not possess any information indicating that Defaulting Defendant is currently on active duty as to all branches of the Military.
- 10. I declare under penalty of perjury that the foregoing is true and accurate to the best of my knowledge, information and belief.

Michael R. Matthias

Sworn to before me this L3th day of October 2017.

Notary Public



08-01789-cgm Doc 16771 Filed 10/16/17 Entered 10/16/17 11:50:57 Pg 6 of 11

# EXHIBIT A

# UNITED STATES BANKRUPTCY COURT, SOUTHERN DISTRICT OF NEW YORK

IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC PLAINTIFF/PETTITONER

VS.

ROBERT LAYTON, et al.

DEFENDANT/RESPONDENT

CAUSE #: ADV. PRO.NO 10-04967 (BRL)

CERTIFICATE OF SERVICE

This service has been completed in compliance with the Hague Convention on the Service Abroad of Judicial and Extra-Judicial Documents in Givil and Commercial Matters (Done at The Hague November 15, 1965) (Entered Into Force for the U.S. on February 10, 1969). The Certificate of Service attached is also in compliance.

	RACCOMANDATA	ti
telefönö	Pálázzo di glustizia Via Pratorio 18. 6901 Lugano 091/815 54.71	Repubblica e Cantone del Ticino
fax	091/814.47:39·	Tribunale d'appello Rogatorie internazionali
Incaricato/a	Ellana Bernasconi	6901 Lugano
Emall	dl-la.rogatorie@ti.ch	Avv. Hamilton Rick 633 Yesler Way USA-WA 98104 Seattle (Statl Uniti d'America)

## Domanda rogatoriale di notifica atti a Gerda Layton, Ascona

causa n. 09/11893

Vostro ril.

(BRL)

Conferma di notifica - confimation de notification - confirmation of service - Zustellungsbestätigung

Lugano

9 marzo 2011

Gentili Signore, Egregi Signori,

trasmettiamo l'unito atto debitamente notificato al destinatario in data 07 marzo 2011.

Distinti saluti.

Nostro rif.

191/2011



Allegati: atti di ritorno + dichiarazione di ricevuta

## REQUEST FOR SERVICE ABROAD OF JUDICIAL OR EXTRAJUDICIAL DOCUMENTS

Convention on the service abroad of judicial and extrajudicial documents in civil or commercial matters, signed at The Hague, November 15, 1965.

Identity and address of the applicant

**Rick Hamilton** 633 Yesler Way Seattle, WA 98104 **United States of America** 

Authorized applicant pursuant to public law 97-351 of Feb. 26, 1983 which amended rule 4(e) 2(n) Rederal Rules of Civil Procedure

Address of receiving authority

TRIBUNALE DI APPELLO VIA PRETORIO 16 **6901 LUGANO** 

The undersigned applicant has the honour to transmit-in-duplicate the documents listed below and ROGATORIE conformity with article 5 of the above-mentioned Convention, requests prompt service of organization APPELLO on the addressee, i.e.; - 4 MAR. 2011

(identity and address)

GERDA LAYTON INDIVIDUAL AND AS JOINT TENANT, CASA AL BOSCO Esibito No. 19/ ASCONA, CH 6612 GY1 1LU **SWITZERLAND** 

DOB:

Phone:

(a) in accordance with the following particular method	
(c) by delivery to the addressee, if he accepts it volunt	arily (second paragraph of aticle 5).*
The authority is requested to roturn or to have returned to annexes* - with a certificate as provided on the reverse s	o the applicant a copy of the documents — and of the lide.
Hearing Date:	
List of documents:	
SUMMONS AND NOTICE OF PRETRIAL CONFERENCE IN AN ADVERSARY PROCEEDING; COMPLAINT WITH EXHIBIT A; NOTICE OF APPLICABILITY OF THE ORDER APPROVING LITIGATION CASE MANAGEMENT PROCEDURES FOR AVOIDANCE ACTIONS; LETTER; ORDER (1) ESTABLISHING LITIGATION CASE MANAGEMENT PROCEDURES FOR AVOIDANCE ACTIONS AND (2) AMENDING THE FEBRUARY 26, 2010 PROTECTIVE ORDER WITH EXHIBIT A, 1, 2, AND 3; THIRD AMENDED NOTICE OF OMNIBUS AVOIDANCE ACTION HEARING DATES	Done at Seattle, Washington USA, on Mar 2 2011  Signature and/or stamp  Activity  Acti



USM-94 (Est. 11/22/77) (Formerly OBD-116, which was formally LAA-116, both of which may still be used) \* Delete if inappropriate

# SUMMARY OF THE DOCUMENT TO BE SERVED

Convention on the service abroad of judicial and extrajudicial documents in civil or commercial matters, signed at The Hague, November 15, 1965.

(article 5, fourth paragraph)

Name and address of the requesting authority:

Rick Hamilton 633 Yesler Way Seattle, WA 98104 United States of America

Particulars of the parties:

IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BERNARD L. MADOFF INVESTMENT SECURITIES LLC Vs. ROBERT LAYTON, et al.

### JUDICIAL DOCUMENT\*

Nature of the document:
To give notice to the Defendant of the institution against than of a civil lawsuit.
Nature and purpose of the proceedings and, where appropriate, the amount in dispute:  Plaintiff is seeking to avoid and recover transfers and other relief, arount to be determined
in cart.
Date and place for entering appearance:*  Defendant has 180 days to make a written appearance, address is noted on the accompanying Summons
Court which has given judgment:*  n/a
Date of judgment:* n/a
Time limits stated in the document:*
Hearing Date:
EXTRAJUDICIAL DOCUMENT*
Name and purpose of the document:
Time limits stated in the document:*

Atto giudiziario da recapitare a Il sottoscritto dichiara di aver ricevuto l'invio qui unito: Autorra richiedente: Avv. Hamilton Rick (per Tribunale Da retrocedere al Tribunale d'appello, Rogatorie internazionali, casella postale, 6901 Lugano. DICHIARAZIONE DI RICEVUTA da esigere oltre a quella rilasciata sui libretto del fattorino) Se flatto e consegnato ad una persona diversa dal destinatario, indicame il nome completo e la relazione con il destinatario (ad esemplo modile, impiegato, esc.). 98.46.100325.00195996 Recommandé Suisse fallimentare degli Stati Unitt, Distretto meridionale di New York, USA) Notrica atto giudiziario (rog. n. 191/2011)